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Page 1 of 1

38.Ns. #3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,767	01/18/2002	Kelvin Chong	2102299-991120

26379  
GARY CARY WARE & FREIDENRICH  
1755 EMBARCADERO  
PALO ALTO, CA 94303-3340

CONFIRMATION NO. 5568

FORMALITIES LETTER



\*OC000000007493564\*

Date Mailed: 02/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

*W Kovacs*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/01/2002 BABRAHA1 00000018 10053767

01 FC:205

65.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chong, Kelvin

Serial No.: 10/<sup>053</sup>552,767

Group Art Unit: Not Yet Assigned

Filed: January 18, 2002

Examiner: Not Yet Assigned

Title: **EFFICIENT SYSTEM AND METHOD FOR RUNNING AND  
ANALYZING MULTI-CHANNEL, MULTI-MODAL  
APPLICATIONS**

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Assistant Commissioner for Patents and Trademarks, Box Missing Parts, Washington, DC 20231 on April 19, 2002.

  
Yvette Renée Killingham

\*\*\*

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents and Trademarks  
Box Missing Parts  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated

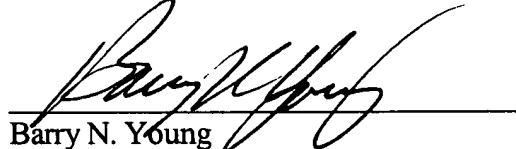
February 19, 2002, transmitted herewith are the following documents:

1. Fee Transmittal for FY 2002 (1 pg.);
2. Transmittal Form (1 pg.);
3. Declaration and Power of Attorney (5 pgs.);
4. Notice to File Missing Parts of Nonprovisional Application (copy) (1 pg.);
5. Check #506540 in the amount of \$65.00;
6. Return postcard.

The Commissioner is hereby authorized to charge any underpayment or credit any overpayment of fees related to this filing to Deposit Account No.: 07-1896 under 37 CFR 1.16 and 37 CFR 1.17.

Respectfully submitted,

**GRAY CARY WARE & FREIDENRICH LLP**



Barry N. Young  
Reg. No. 27,744  
Attorney for Assignee

Date: April 19, 2002

GRAY CARY WARE & FREIDENRICH LLP  
Patent Department  
1755 Embarcadero Road  
Palo Alto, CA 94303-3340  
Telephone: (650) 320-7400

Gray Cary\EM\7109295.1  
2102299-991120

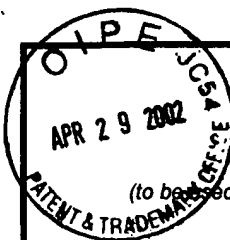
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PTO/SB/21 (08-00)



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/552,767
Filing Date	January 18, 2002
First Named Inventor	Chong, Kelvin, et al.
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	2102299-991120

Total Number of Pages in This Submission

10

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard; Notice to File Missing Parts of Nonprovisional Application (copy).
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Remarks



26379

☒ Customer Number or Bar Code Label

PATENT, TRADEMARK OFFICE  
(Insert Customer No. or Attach bar code label here)

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated:

4/19/2002

By:

Attorney Name David L. Alberti  
Reg. No. 43,465  
Attorneys for Applicant(s)  
1755 Embarcadero Road  
Palo Alto, California 94303-3340  
650/320-7400

## CERTIFICATE OF MAILING

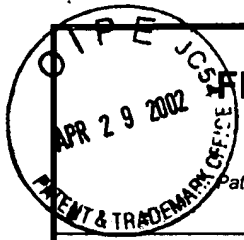
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Box Missing Parts, Washington, DC 20231 on this date: April 19, 2002

Typed or printed name YVETTE RENEE KILLINGHAM

Signature

Date

APRIL 19, 2002



# **FEE TRANSMITTAL** **for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**
**(\$)** 65.00

**Complete if Known**

Application Number 10/052,767

Filing Date January 18, 2002

First Named Inventor Chong, Kelvin, et al.

Examiner Name Not Yet Assigned

Group Art Unit Not Yet Assigned

Attorney Docket No. 2102299-991120

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number  
Deposit Account Name

07-1896

Gray Cary Ware &amp; Freidenrich LLP

- ☒ Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ **Payment Enclosed: #506540**

- ☒ Check in the amount of \$ \$65.00

**FEE CALCULATION**
**1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1) (\$)**
**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
-20** =	X		
Independent Claims -3** =	X		
Multiple Dependent	X		

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	80	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)** 0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)**
**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** -0-

**SUBMITTED BY**

Name (Print/Type)

David L. Alberti

Registration No.  
(Attorney/Agent)

43,465

Telephone

(650) 833-2052

Signature

Date

April 19, 2002